

Building products you won't regret.

An approach to responsible
Tech and AI for product teams





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PRODUCT AOTEAROA



**Who am I
(why am I here,
and why do I
care about
responsible
tech)?**



Today I want to talk about

- Where we're at with technology
- Why this is so hard to get right
- How we can build products that don't harm vulnerable people
- The way we approach this at Auror, and the things you can take action on



Regrettable tech



Racially biased optic sensors



Chukwuemeka Afigbo

@nke_ise · Follow



If you have ever had a problem grasping the importance of diversity in tech and its impact on society, watch this video



9:48 PM · Aug 16, 2017



196.5K



Reply



Copy link to Tweet

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Amazon's sexist AI recruiting tool scrapped



Apple Sued Over Racial Bias Of Apple Watch



AI tool turns an Asian MIT student white for a professional headshot



Courtesy of Rona Wang

Speech recognition software leading to new forms of discrimination



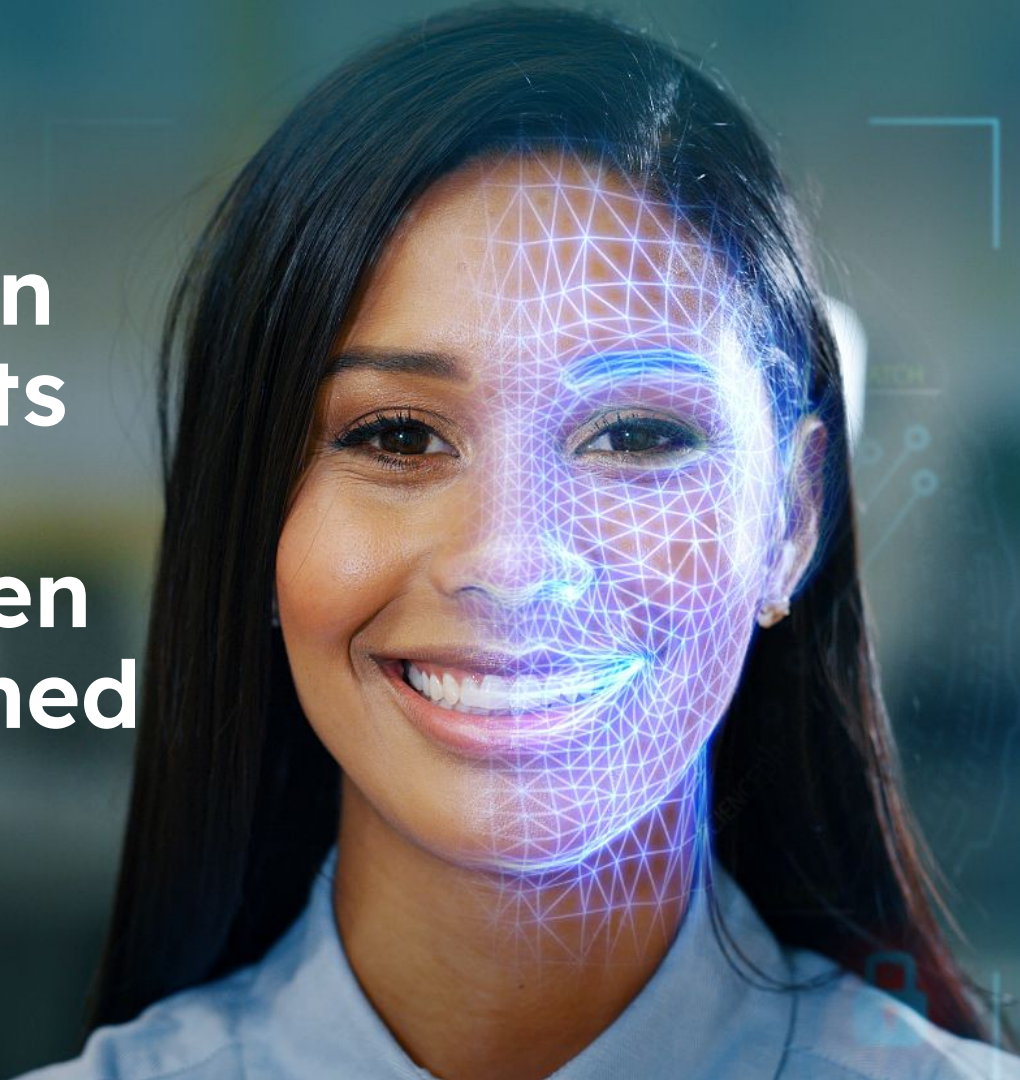
**Gender diverse
people missing
cervical screenings
due to health
software setup**



**Most digital
assistants don't
respond well
to questions
about violence
and health**



**Many
facial-recognition
software products
not accurately
identifying women
and darker-skinned
people**



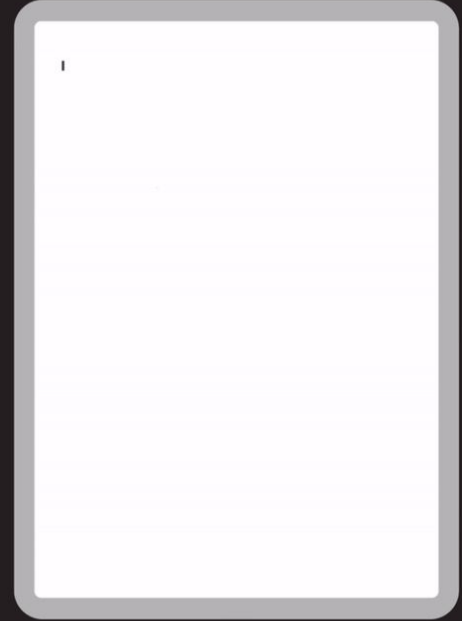
**Why is this so hard
to get right?**



**So how can we build
products that don't harm
vulnerable people?**



**Auror empowers
the retail community
to **report crime,**
reduce loss and
harm, and make
stores safer.**



424

violent or abusive incidents per day
(+9% on the previous year)*

\$150B

lost to **customer theft****

4x

more likely a repeat offender will be
aggressive and cause a safety event



*Source ANZ crime study 2022 (\$4.2b /365 days)

**US Chamber of Commerce 2023 - March 2023



150-200%

Increase in fuel retail loss



You have three seconds


Pick a person you
think is going to
steal fuel from your
petrol station



Why did you
switch me
to prepay?


How come
I can't use
the pump?



A man with a mustache, wearing a red suit, white shirt, and striped tie, is shown from the chest up. He has a look of regret or concern, looking slightly to his left. The background is a grey stone wall. A portion of another person in a blue shirt is visible on the right edge of the frame.

I immediately regret this decision.

Reducing crime *and* bias



License plate accuracy

ABC123 Monday 21 January 2019 11:05am
Pump 8, Camera 1

Summary **VEHICLE OF INTEREST** **AGGRESSIVE**

| | | | | |
|------------------------|-----------------------------------|-------------------------------|---------------------------|--------------------------------------|
| 3 Drive offs | 1 Customer charge error | 1 Pay at pump error | 1 Unable to pay | 2 Mobile app payment error |
|------------------------|-----------------------------------|-------------------------------|---------------------------|--------------------------------------|

[View details](#) [Add event](#) Balance owed **\$257.52**



Responsible tech and AI principles



Fairness and equality



Transparency, explainability
and accountability



Reliability, security and privacy

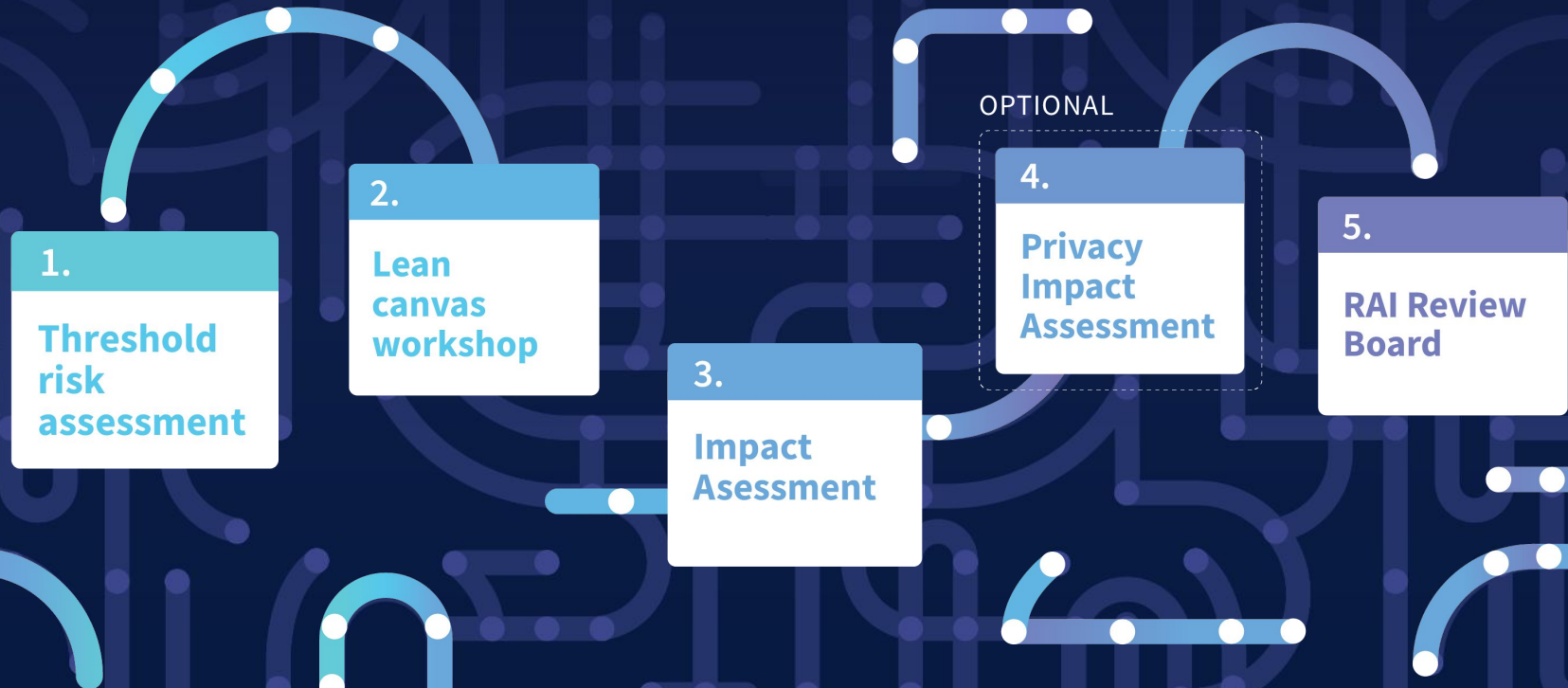


Human control and oversight



Community benefit

Responsible tech and AI process



Responsible tech workshop



Problem

1

What is the problem we're trying to solve, or the opportunity we see?



People

2

Who would use it?
Who would be impacted by it?
How would it be used by each?
Do we need to account for a relationship of care with this solution? Eg. parent, teacher, lawyer, fiduciary responsibility.



Solution Options

3

What is the idea? How will it solve the problem? What type of technology might be used in this solution?



Value Proposition

4

Who does this help? How does it help our partners / users?



Positive Impacts

6

Describe the positive impacts this solution might have on Auror, our partners / users, or the wider community



Commercial Opportunities

5

How could we commercialise this?



Negative Impacts and Potential Risks

7

What negative impacts, harms, or risks might we encounter with this solution and what vulnerable communities may be impacted directly or indirectly by this solution?



Mitigations

8

What are the mitigations we could take to reduce negative impacts, harm, or risk?



Impact assessment (IA)

- High level information about the feature/change
- Ethical considerations
 - Fairness & equality
 - Transparency, explainability and accountability
 - Reliability, security and privacy
 - Human control and oversight
 - Community benefit
- Impact and harm analysis

4. Impact & harm analysis

Consider the best and worst-case scenarios that could arise from use of the proposed system after it has been deployed. Detail any expected hurdles or challenges to overcome.

When thinking about affected stakeholders, consider both direct stakeholders (such as customers, users, and partners) and indirect stakeholders (such as data subjects, marginalised groups, regulators, civil society and the general public).

Use the risk assessment table to indicate the expected likelihood and severity of each harm once the proposed mitigations are in place.

RESPONSIBLE AI RISK MATRIX

| | | Impact (of harm to affected person/group) | | |
|-----------------------------------|--|--|--|---|
| | | LOW Minor impact | MED Some impacts felt | HIGH Significant impact |
| Likelihood (of harm occurring) | LOW Unlikely to occur in most circumstances | LOW Unlikely to happen/ low frequency with minimal impact | LOW Unlikely to happen but moderate harm will occur | MED Low frequency but would cause significant harm |
| | MED Could occur in some circumstances | LOW Could happen but minimal impact | MED Could happen with moderate harm occurring | HIGH Could happen causing significant harm |
| | HIGH High chance of occurring in many circumstances | MED High frequency but low impact | HIGH High frequency with moderate harm occurring | HIGH High frequency causing significant harm |

RISK DECISION-MAKING KEY

| | | |
|--|--|--|
| LOW Risk manageable through existing or proposed internal controls & mitigants | MED Requires additional risk treatment actions | HIGH Unacceptable |
| OK to proceed | Proceed only once appropriate mitigants are in place | Place project on hold pending investigation of further potential mitigants |

Impact assessment (IA)

- Data questions (for both training and production data)
 - Where does the data come from (i.e. what is the source? Which country does it originate from?)
 - What and who is represented in the data? What and who is not represented?
 - When and how was it collected? By who? And for what purpose?

1. Project information

This section of the IA requests background information for readers who may not be familiar with your project. It includes high-level context questions to help inform our thinking on legal and ethical considerations and potential harms in later sections of the document.

2. Data

A significant number of the questions in the IA are about data. Data powers many technologies and AI systems so we need to understand what training and production data we will be using and how accurate and reliable it is. You can use the table below to collect information you'll then use when completing the IA.

| | Training data | Production data |
|--|---------------|-----------------|
| Where does the data come from (i.e. what is the source? Which country does it originate from?) | | |
| What and who is represented in the data? What and who is not represented? | | |
| When was it collected? | | |
| How was it collected? | | |
| Who collected it? | | |
| Why/for what purpose was it collected? | | |

Review and go/no-go



**So what were the
key things we learned**



**Diverse
perspectives
drive
innovation**



**Ethical
considerations
are non-
negotiable**



Continuous learning and adaptation



Now, take action

auror.co/responsible-ai



| | | |
|--|--|---|
| Problem What is the problem we're trying to solve, or the opportunity we see? | People Who would use it? Who would be impacted by it? How would it be used by each? Do we need to account for a relationship of care with this solution? E.g. parent, teacher, lawyer, fiduciary responsibility. | Solution Options What is the idea? How will it solve the problem? What type of technology might be used in this solution? |
| Negative Impacts and potential risks What negative impacts, harms, or risks might we encounter with this solution and what vulnerable communities may be impacted directly or indirectly by this solution? | | Mitigation What requirements... |

Responsible Tech and AI Lean Canvas

Responsible Tech and AI Impact Assessment (IA) guide



Designed and developed by Auror Limited. Auror's Responsible Tech and AI Lean Canvas is licensed to you on an open Creative Commons Attribution 4.0 International license.

Further reading

Try

- Foundations of Humane Technology course
- Ethical OS - Risk mitigation checklist
- Consequence Scanning - an agile practice for innovators

Read

- Shouting Zeros and Ones: Digital Technology, Ethics, and Policy in New Zealand
- Data protection laws across the world
- New Zealand's Privacy Act 2020 and Privacy Principles
- The Ethical Algorithm: The Science of Socially Aware Algorithm Design
- Weapons of Math Destruction
- Hello World: Being Human in the Age of Algorithms
- The Alignment Problem: Machine Learning and Human Values
- Invisible Women: Data Bias in a World Designed for Men
- Queer Data: Using Gender, Sex and Sexuality Data for Action
- Algorithms of Oppression: How Search Engines Reinforce Racism
- Data Feminism



Thank you!

P.S. We're hiring at Auror

auror.co/careers